

RESOLUTION 191E / 2021, of August 30, of the General Director of Innovation, approving the call for 'Grants for attracting and hiring research talent by research centers and universities: Senior Talent 2021 ANDIA' BDNS Identification: 581593.

REFERENCE: FILE CODE no: 0011-3947-2021-000000

MANAGEMENT

UNIT: Department of University, Innovation and Digital Transformation

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FILE

File type: Senior talent grants 2021.

Implementing rules: Regional Law 11/2005, of November 9, on grants.

Framework on State aid for research and development and innovation

The regional Law 15/2018, of June 27, on Science and Technology establishes in its preamble that *R&D&I* increases prosperity, improves quality of life and provides creative solutions to the great challenges of our society. Likewise, in its article 21 the measures to comply with the object and purposes of this regional Law are detailed, among which are those that promote excellence through *specific aid allocated to the agents of the R&D&I system in Navarra*, *SINAI*.

Accordingly, the objective of the 2021 ANDIA Senior Talent call is to establish the regulatory bases for the aid aimed at attracting and returning research talent to SINAI Research Centers and Universities, promoting actions to attract reference researchers and their incorporation into these entities, to develop research projects related to the strategic areas in Navarra, acting as tractors for the development of other works by the rest of the entities of the R&D&I system in Navarra, SINAI. For this purpose, the recruitment by the SINAI Research Centers and Universities of a researcher with a consolidated career will be subsidized together with a team made up of two research assistants.

In this way, it is intended to consolidate a multi-annual financing scheme for the attraction and retention of research talent, which takes into account the needs that exist in the aforementioned strategic agents of the R&D&I system in Navarra, SINAI.

In accordance wherewith, and in exercise of the powers conferred on me by article 32 of the Regional Law 11/2019, of March 11, on the Administration of the *Foral* Region of Navarra and the Regional Institutional Public Sector,



IT IS HEREBY DECIDED:

- 1. To approve the call for 'Grants to attract and hire research talent by research centers and universities: Senior Talent 2021 ANDIA'.
 - 2. To approve the regulatory bases of the call, included in Annex 1 of this Resolution.
 - 3. To authorize a credit of 2,000,000 euros with the following budget allocation:

BUDGET LINE	YEAR	AMOUNT
G20001-G2100-4819-467309: 'Plan to Reactivate Talent Attraction Programs'	2022	240,000
G20001-G2100-4819-467309: 'Plan to Reactivate Talent Attraction Programs'	2023	440,000
G20001-G2100-4819-467309: 'Plan to Reactivate Talent Attraction Programs'	2024	440,000
G20001-G2100-4819-467309: 'Plan to Reactivate Talent Attraction Programs'	2025	440,000
G20001-G2100-4819-467309: 'Plan to Reactivate Talent Attraction Programs'	2026	440,000

- 3. To publish this Resolution and its annexes in the Official Journal of Navarra, BON.
- 4. Against this Resolution, which does not exhaust administrative procedures, an appeal may be filed with the Head of University, Innovation and Digital Transformation, within a period of one month from the day following its publication.

Pamplona, August 30, 2021.

THE GENERAL DIRECTOR OF INNOVATION Rosario Martínez Ortigosa



ANNEX 1

REGULATORY BASES

Basis 1. Purpose and object

The purpose of these grants is to strengthen the research lines of research centers and universities by recruiting highly experienced research staff, as well as creating new research teams.

These grants finance the recruitment for a period of 5 years of research staff with a proven track record. In addition, the hiring of up to two researchers to support the main researcher is also subsidized and additional expenses necessary for the execution of the research activity are financed.

Basis 2. Beneficiary entities

1. The executing agents of the R&D&I system in Navarra (SINAI), accredited as a university or research center that are not subject to the restrictions set out in the Regional law 11/2005, of November 9, article 13.2 on Subsidies may obtain the status of beneficiaries.

The application for the grant entails the authorization for the granting body to obtain, directly, any telematic certificates that show the applicant is up to date in complying with their Social Security and tax obligations. In the event that the interested party expressly opposes to this in their request, relevant certificates of being up to date in compliance with the aforementioned obligations must be provided.

2. Compliance with the established requirements will be accredited by means of a statement of compliance from the applicant entities that will accompany the electronic application for the grant and must be maintained until the end of the justification period for the activity.

Basis 3. Grant eligible situations

For the purposes of this call, 'Aid position' is understood as the set of the following eligible items:

- 1. Recruitment of a Main Researcher (IP)
- 2. Recruitment of two assistants to the main researcher.
- 3. Expenses from the execution of the research activities by the researcher and their work team.



Basis 4. Number of grants to be allocated

- 1. A maximum of four grant eligible situations may be subsidized.
- 2. Aid will be granted to applications with the highest score according to the assessment criteria contained in basis 11 and taking into account a first allocation in which only one grant will be given per strategic area and a maximum of two grants per beneficiary entity. The strategic areas are described in Annex 2.
- 3. However, if after considering the applications following the above criteria, there is still remaining credit available, the number of applications eligible for grant may be expanded, taking into account the next highest rated until the available credit or the number of applications that meet all the requirements are exhausted.

Basis 5. Duration of grant

- 1. The grants awarded will have a maximum duration of five years from the date of incorporation of the main researcher to their job at the contracting research center.
- 2. This period of five years will be divided into periods of 12 months (hereinafter, first, second, third, fourth and fifth annuity).

For the purposes of calculating the annuities, the starting date of the first annuity will be considered the first day of the month of commencement of the contract of the main researcher. The start and incorporation date of this person will not be prior to the request for the aid and must be made within a maximum period of two months from the granting of the aid.

Basis 6. Maximum amount of aid

1. The maximum aid to be granted for each eligible situation is 500,000 euros, with the following breakdown by position and year:

YEAR	Main researcher contract	Assistants to the main researcher contract	Research expenses	TOTAL
2022	50,000	0	10,000	60,000
2023	50,000	50,000	10,000	110,000
2024	50,000	50,000	10,000	110,000
2025	50,000	50,000	10,000	110,000
2026	50,000	50,000	10,000	110,000
TOTAL	250,000	200,000	50,000	500,000

(All amounts in euros)

2. The maximum amounts for each eligible situation are:



a) Recruitment of the main researcher.

The maximum aid is 250,000 euros allocated by means of 50,000 euros/year. This aid will be used to co-finance the main researcher's salary and Social Security quota during each of the annuities.

The beneficiary entity must assume the cost of hiring that exceeds the aid granted, as well as any increase in remuneration corresponding to subsequent years of the people employed, increases in the Social Security quota or any other incident.

b) Recruitment of two assistants to the main researcher

The aid for the creation of the work team of the main researcher will consist of a maximum endowment of 200,000 euros for the recruitment of two assistants to the main researcher.

The maximum annual payment for each position created and covered will be 25,000 euros, for 4 years, starting in the second annuity.

c) Expenses to carry out research activities.

A maximum additional aid of 50,000 euros will be granted, distributed in five annual payments of 10,000 euros each to cover the expenses derived from the investigation of the main researcher and their team. These expenses must be directly related to the execution of their research activities.

3. In no case will the aid be greater than the expenditure actually incurred.

Basis 7. Requirements of the main researcher, eligible expenses and contract terms.

1. Requirements of the main researcher.

The main researcher must have a doctorate degree. The awarding date of the doctorate must be before December 31, 2017, and after December 31, 2006. The awarding date shall be understood as the date of the defense and approval of the doctoral thesis.

In the case of those candidates who are in possession of more than one doctorate degree, this requirement will refer to the oldest of the degrees obtained.

In addition, a minimum stay of 24 months must be accredited in a center different from the one where the doctorate degree was awarded.

The candidate cannot be part of the staff of the applicant entity, nor having been part of the same in the two years prior to the date of publication of this call.

2. Eligible expenses of the main researcher



- a) The gross remuneration agreed with the contracting entity or established in the collective agreement.
- b) The Social Security contribution, discounting the amount corresponding to the bonuses or reductions that may be associated with the payment of that contribution.
- 3. Conditions of the contract of the main researcher.

Contracts must:

- a) Be signed by the beneficiary entities and the person to be recruited.
- b) Be formalized in writing, stating:
 - I. The degree of the person hired.
 - II. The job to perform.
 - III. The amount expressed in euros of the annual gross salary, not being acceptable references to 'according to agreement' and the like.
- IV. The Social Security contribution group will be 1.
- V. The hired candidate will provide their services in work centers located in Navarra and will be included in the Social Security contribution account code in a work center in Navarra.
- VI. People who are self-employed cannot be hired.
- c) The contract must be full-time and have a minimum duration of 5 years from the date of incorporation of the recruited people to their job.
- d) Make express reference to the aid received in this call, indicating the file number.
- e) Any modification of the conditions of the contract that occurs after the signing and presentation of the contract must be communicated to the awarding body.

Basis 8. Requirements of the assistants, eligible expenses and contract terms

1. Requirements of the assistants (predoctorates)

To be subscribed or at least have applied for admission to carry out a doctoral program in the 2021/2022 academic year or later. Upon presentation of the contract or, failing that, within a period not exceeding 6 months from the formalization of the contract, enrollment in a doctoral program must be accredited.

- Eligible expenses of the assistants.
 - a) The gross remuneration agreed with the contracting entity or established in the collective agreement.



- b) The Social Security contribution, discounting the amount corresponding to the bonuses or reductions that may be associated with the payment of that contribution.
- Conditions of the contract of the assistants.

The contracts must meet the following requirements:

- a) Be signed by the beneficiary entities and the people to be hired in the form of a predoctoral contract.
- b) Be formalized in writing, expressly stating the following:
 - I. The degree of the person hired.
 - II. The start and end date. References to 'project dates', 'end of work' and the like will not be admissible, unless the contract is indefinite.
 - III. The job to perform.
- IV. The amount expressed in euros of the annual gross salary, not being acceptable references to 'according to agreement' and the like.
- V. The reference to the aid of this call.
- c) The contract must be full-time.
- d) The Social Security contribution group will be 1 or 2.
- e) The person hired will provide their services in work centers located in Navarra.
- f) People who are self-employed cannot be hired.
- g) The person hired will be included in the Social Security contribution account code in a work center in Navarra.
- h) Remuneration will be based on what is established in the corresponding labor agreement. The salary that the hired person will receive must be in accordance with the provisions of article 7 of Royal Decree 103/2019, of March 1, which approves the Statute of pre-doctoral research staff in training.
- i) They shall comply with the provisions of the Statute of Workers Rights and its implementing regulations.

Basis 9. Research activities execution expenses

The expenditure of this additional financing may be made during the entire period of execution of the aid and may be used to finance the following expenditure items, provided that they are directly related to the execution of the research activities of the people whose hiring is subsidized under this call.

1. Consumables and other supplies.



- 2. Acquisition of small equipment for research, up to a maximum of 5,000 euros per year.
- 3. Mobility expenses: mobility expenses include the concepts of accommodation, maintenance, insurance for assistance abroad, visa and transportation of the contracted persons, for stays in research entities other than the contracting entity.
 - a) Accommodation and meals: the eligible cost for this concept is established as an amount per day of stay according to the country of destination.

For stays in countries included in the following list, the indicated cost will apply.

Country	Cost (euros/day)
Saudi Arabia, Algeria, Argentina, Australia, Austria, Bosnia-Herzegovina, Canada, Cameroon, Chile, China, Korea, Croatia, Egypt, United Arab Emirates, Slovakia, Ethiopia, Gabon, Guatemala, Equatorial Guinea, Hungary, India, Indonesia, Iran, Ireland, Israel, Jamaica, Jordan, Kenya, Kuwait, Lebanon, Libya, Malaysia, Morocco, Mexico, Montenegro, Nicaragua, Nigeria, Pakistan, Peru, Poland, Portugal, Czech Republic, Romania, Serbia, Singapore, Syria, Taiwan, Congo.	50
Germany, Angola, Belgium, Brazil, Colombia, Denmark, United States, Finland, France, Italy, Japan, Luxembourg, Norway, Netherlands, United	60
Kingdom, Russia, Sweden, Switzerland, Yemen.	

For stays in Spain or countries not included in the previous list, a cost of 40 euros per day will be applied.

- b) Transportation. The eligible expenditure for this concept will be:
 - I. Up to 300 euros for trips when the destination of the stay is located in Spain.
 - II. Up to 1,000 euros for trips when the place of destination of the stay is located outside Spain.
 - III. Travel to the place of stay (round trip) in a private vehicle is considered eligible at a rate of 0.32 euro/km up to the maximum indicated in the two previous points.
- 4. Dissemination expenses:
 - a) The registration of any of the three people hired under this call in technical seminars, conferences or congresses whenever their own research activities are to be presented. The mobility or other expenses associated with the participation of this person in said conferences or congresses; in these cases, the limits indicated in the previous point will be applied for 'mobility expenses'



- b) Cost of publication in specialized magazines. Work submitted for publication must be signed by the main researcher conducting the subsidized research.
- c) Participation in national and international research networks.
- d) Protection of research results in the industrial property registry.

Basis 10 Term and form of submission of applications

- 1. The deadline for submitting applications will be one month and will start the day following the publication of the call in the Official Journal of Navarra.
- 2. Applications must be submitted electronically through the corresponding file in the catalog of procedures on the website of the Government of Navarra www.navarra.es (hereinafter, the aid file)

The application must indicate that you want to receive notifications by electronic means, for which it is mandatory to have an Enabled Electronic Address (hereinafter *DEH*) as well as to be subscribed to the electronic notification procedures enabled by the Government of Navarra.

In any case, the application for the subsidy entails the authorization to this Department to make the notifications and communications with the requesting entities by exclusively electronic means.

Each application will refer to one of the positions for which it is intended to obtain aid, therefore, each applicant entity will make as many applications as positions requested.

The same candidate may not be in more than one request for participation.

- 3. The documentation to be submitted, which will conform to the models available in the aid file and will be presented in separate files and duly identified with their name, will be the following:
 - a) Application form, where the name and identifying data of the candidate will be indicated, including their ORCID identification code (Open Researcher and Contributor ID) and the identification code on the web of Science (Researcher ID) or Scopus (Author ID) and the strategic research sector according to the list in Annex 2 of this call.
 - b) Responsible declaration by the person who holds the legal representation of the requesting entity with the following declarations and commitments:



- I. Commitment to communicate possible alterations to the circumstances contained in such statements at the time they occur, by means of the Government of Navarra aid file.
- II. Fulfilling the requirements to access the status of beneficiary entity, under the terms established in this call, as well as the obligations and requirements of the beneficiary entities, established in basis 2 of this call.
- III. It is not an executive debtor of obligations due to a firm resolution of origin of reimbursement of subsidies.
- IV. It has not received concurrent subsidies for the same actions as those subsidized in this call.
- V. It is not involved in a procedure for the recovery of aid, declared illegal and incompatible by the European Commission.
- VI. It has a clear differentiated accounting reflecting the costs and financing of its economic activity from its non-economic activity.
- VII. The documentation submitted has been checked and certifies the veracity of the data entered in the application.
- VIII. The presentation of the application entails the consent to verify or collect from other bodies, Administrations or providers, by electronic means, the information on the circumstances of the requests that, in accordance with the call and the applicable regulations, are relevant for the instruction of the procedure.
- c) Abbreviated 'Curriculum vitae' (hereinafter, CVA) of the candidate, which will be presented in the standardized model available on the website of the State Research Agency, or in the one generated automatically from the application 'Standardized curriculum vitae' available on the website of the Spanish Foundation for Science and Technology (FECYT), using the option to generate an abbreviated curriculum. The CVA will be submitted in English or Spanish.
- d) Memory of the candidate's research career, as well as the main line of research that they have developed, highlighting up to a maximum of ten of their most relevant contributions from among those contained in the Curriculum Vitae. The use of the model available on the website of the State Investigation Agency is recommended.
- e) Both the memory of the research career and the *curriculum vitae* must be digitally signed by the candidate.
- f) Copy of the doctorate certificate or academic certification, where there is an express indication of awarding date.

For the purpose of the assessment process, only the information contained in the CVA and in the memory of the research career and the line of research on the closing date of the application submission period will be taken into account. Subsequent updating of the information contained in these documents will not be possible. In the event that correction, rectification or clarification of such documents is requested, the information provided must refer, at most, to the closing date of the application submission period, not



- accepting documents that include information that was not contained in the original document.
- g) Proof of having carried out a minimum stay of 24 months in a different center from the one in which the doctorate was obtained.
- 4. Each application will refer to a single eligible situation.
- 5. If the documentation submitted does not meet the requirements indicated, the Energy R&D&i division, as the managing body of the aid, will require the interested entity to correct it within a period of 10 working days, indicating that otherwise, the request will be considered withdrawn, and a resolution of the file will be issued.
- 6. The non-presentation of the *curriculum vitae* or the memory of the research career will not be subject to correction. The absence or lack of content of these documents will determine the inadmissibility of the request for aid.

Basis 11. Valuation criteria of applications.

- 1. The procedure for awarding these grants will be that of competitive competition basis, so that a comparison will be made between the applications submitted in order to establish a priority among them in accordance with the assessment criteria established in this basis.
- 2. Applications will be assessed according to the following criteria:

Criteria to assess the academic or professional career of the candidate	Maximum score	Minimum score
A) Scientific-technical contributions	55	-
B) Mobility and internationalization	25	-
C) Leadership	20	-
TOTAL	100	85

Description of the assessment criteria

A) Scientific-technical contributions. All the curricular merits of the candidate will be valued, as well as their adaptation to the tasks to be carried out based on training and professional experience. The relevance of the candidate's contributions in articles published in scientific journals, books or scientific and technical book chapters, including open access publications, granted or licensed patents, papers presented at congresses, training activities, management, technology transfer and scientific dissemination, awards obtained, mentions and distinctions and in general, any other contribution that allows assessing the relevance of the different aspects of the research activity carried out.



- B) **Mobility and internationalization**. The relevance of the candidate's stays in national and international centers will be assessed, prioritizing postdoctoral stays over predoctoral ones; for this, the prestige of the center of the stay, the contributions of the candidate to the lines of work of the center and groups with which they have worked, the research results published, patented or in operation in international collaboration and the participation in actions related to international programs and projects, as well as direct involvement in them will be considered.
- C) Leadership. The degree of research independence acquired by the candidate throughout their professional career will be assessed, including participation in research projects as main researcher, the ability to lead research groups, attracting resources, including funding through participation in actions related to national and international programs and projects, also those financed by companies and other private entities, the direction of doctoral theses and any other relevant scientific and professional experience
- 3. In order for an application to be eligible for funding, it must achieve a score equal to or greater than 85 points in these three sections.
- 4. In the event that the candidate has been assessed in the last call for *Ramón y Cajal* grants (approved by Resolution of November 23, 2020, and published in BOE No. 312, of November 28, 2020), the result of the assessment may be submitted and will serve as an assessment for this call.

If this report is not available or a new assessment is required, the managing body may request the issuance of valuation reports to entities or independent experts, after accreditation of the absence of conflict of interest and confidentiality. These reports will be optional and non-binding.

Likewise, it may require the requesting entities all the documentation or information it deems necessary to carry out the assessment of the projects.

5. In the event of a tie, this will be settled based on the score obtained in sections A) and B) following this order. In the event that the tie is maintained, it will be resolved in favor of the request with the earliest date and time of presentation.

Basis 12 Granting of aid

 Once all applications have been assessed, the aid managing body will submit the resolution proposal to the competent body in which it will be stated that the beneficiary entities and the candidates meet all the requirements to access the grant.

The resolution proposal will contain at least:

a) The list of beneficiary entities ordered according to the score obtained, including the amount of aid, the strategic sector of the proposal and the name of the main researcher.



- b) The list of requests to be dismissed in a reasoned manner, including those whose dismissal is due to the limitation of the allocation of budgetary resources.
- 2. The General Director of Innovation will be the competent body to decide on the granting of the subsidy. The resolution will be issued and notified electronically to the Enabled Electronic Address of the beneficiary entities within a maximum period of four months from the date of submission of the applications.
 - After this period has elapsed without an express resolution being notified, the application will be understood to have been rejected. Against the express or presumed rejection, the interested persons may file an appeal before the Head of University, Innovation and Digital Transformation, in accordance with the provisions of the legislation on the common administrative procedure.
- 3. In the event that any beneficiary rejects the aid or the loss of the right to collect the aid is declared during the year the aid is granted, this may be granted to those applications that have been rejected due to budgetary restrictions. In this case, the order of punctuation established in the resolution of the call will be followed. Depending on the date on which these new concessions take place, the managing body may set new deadlines for contracting and justifying the aid.

Basis 13. Submission of documentation after granting of the aid.

- 1. Within two months after the granting of the aid, the beneficiary entity must submit, electronically by means of the aid file, the following documentation:
 - a) Copy of the contract formalized with the main researcher.
 - Supporting documentation that the person is included within the social security contribution account code in a work center in Navarra and in contribution group 1. In particular the *Idc* document (Data report for the contribution-Employed workers of the General Treasury of the Social Security)
- 2. Failure to comply with this obligation will lead to the loss of the right to grant awarded.
- 3. Within a maximum period of one month from the signing of the contract by the research team members and always before June 30, 2023, the beneficiary entity must present the copy of the contract formalized with the two selected candidates for the research team.



Basis 14. Term and form of justification of the subsidized action

- 1. The beneficiary entities, in addition to submitting the documentation in the form and term indicated in basis 13, must justify the subsidized contracting in the following terms:
 - a) Within a maximum period of two months from the end of the first annuity, the supporting documentation of the first annuity will be submitted.
 - b) Within a maximum period of two months from the end of the second annuity, the supporting documentation for the second annuity shall be submitted.
 - c) Within a maximum period of two months from the end of the third annuity, the supporting documentation for the third annuity will be submitted.
 - d) Within a maximum period of two months from the end of the fourth annuity, the supporting documentation for the fourth annuity will be submitted.
 - e) Within a maximum period of two months from the end of the fifth annuity, the supporting documentation for the fifth annuity will be submitted.
- 2. The beneficiary entities must submit by means of the aid file, adjusting to the models available therein, the following supporting documentation of the corresponding annuity:
 - a) Scientific-technical performance report with a description of the activities carried out by the research team.
 - b) Financial report justifying the cost of the activities carried out, which will contain proof of all the expenses incurred, as well as proof of the stays or dissemination carried out.
 - c) A statement of compliance of not having received other subsidies for the concepts subsidized in this call.

Basis 15 Scientific-technical monitoring report

Main researchers must prepare two scientific-technical monitoring reports, one of intermediate progress regarding the first two contract annuities and a final one relating to the five contract annuities.

Along with this last report, the recruited candidates may also request the certification of outstanding research trajectory for the purposes of the I3 Program, in which case they will also send their Normalized Curriculum Vitae (CVN) generated through the FECYT website.

The beneficiary entities must submit these reports to the awarding body at least one month before the end of the second and fifth annuity of the contract, starting their computation from the day of effective incorporation of the persons hired to the beneficiary entity. The



presentation must be in month 22 or 23 of the contract for the intermediate assessment and in month 58 or 59 of the contract for the final assessment.

The scientific-technical monitoring of the aid corresponds to the granting body, which may request the assessment of the scientific-technical monitoring reports by scientific experts. Likewise, it may appoint the bodies, commissions or experts that it deems necessary to carry out the appropriate follow-up actions and verification of the application of the aid and require the presentation of the complementary information that deems appropriate, including the possibility of conducting interviews with all or some of the people hired, their assessment being incorporated into the follow-up result.

The assessment corresponding to the first two annuities will have the following result:

- a) Favorable, if it is considered that the execution during the assessed period will allow reaching, by the end of the fourth year, what is established in Annex II of the Resolution of July 20, 2005, of the Secretary of State for Universities and Research, establishing the assessment criteria in the Incentive Program for the Incorporation and Intensification of Research Activity (Program I3).
- b) Unfavorable, if the provisions of the previous section are not met.

The assessment corresponding to the five annuities will have the following result:

- a) Excellent, only in the event that the main researcher has requested the assessment of their technical scientific activity in order to obtain the certification of outstanding research trajectory for the purposes of Program I3 and that the provisions set out in Annex I and in the Annex II of the Resolution of July 20, 2005 of the Secretary of State for Universities and Research, which establishes the assessment criteria in the Incentive Program for the Incorporation and Intensification of Research Activity (Program I3)
- b) Favorable, if the provisions of Annex II of the Resolution of July 20, 2005, of the Secretary of State for Universities and Research are met, which establishes the assessment criteria in the Incentive Program for the Incorporation and Intensification of Research Activity (Program I3)
- c) Unfavorable, in the event that the provisions of section b) are not met.

Basis 16. Payment and settlement of aid

- 1. The payment of the grant will be made in advance for each of the annuities:
 - a) First annuity: a payment will be made during the year 2022, after the submission of the contract of the main researcher. The first payment corresponding to the aid for the recruitment of this person will be made during the first year (maximum of 50,000 euros) plus the corresponding to the execution expenses of this first year (10,000 euros maximum).



- b) Second annuity: one or more payments will be made in 2023:
 - I. Payment of the second annuity regarding the recruitment of the main researcher and execution expenses of the second annuity (60,000 euros maximum). It will be done by means of the presentation of proof that the person is still hired at the center. The supporting documentation must be submitted before September 30, 2023.
 - II. Payment of the aid to recruit the research team. The first payment will be made by means of the submission of each of the research team contracts. Maximum of 25,000 euros per contract. Although some of the contracts are made during the year 2022, the payment, in any case, will be made during the year 2023.
- c) Third annuity: a single advance payment will be made during 2024, corresponding to the third annuity (110,000 euros maximum) upon presentation of proof that the people are still hired at the center. The supporting presentation must be submitted before September 30, 2024.
- d) Fourth annuity: a single advance payment will be made during 2025 corresponding to the fourth annuity (110,000 euros maximum) upon presentation of proof that the people are still hired at the center. The supporting presentation must be submitted before September 30, 2025.
- e) Fifth annuity: a single advance payment will be made during 2026 corresponding to the fifth annuity (110,000 euros maximum) upon presentation of proof that the people are still hired at the center. The supporting presentation must be submitted before September 30, 2026.

To make effective each of the payments of annuities 2, 3, 4 and 5, a review that the contracts are still active will be made.

- 2. The managing body of the aids will check the documentation submitted and, if the beneficiary entities comply with the obligations awarding the right to receive the grant, it will submit a proposal to the competent body for a resolution to pay the corresponding annuity.
- 3. The aid will be paid within a maximum period of four months from the submission of the corresponding documentation. The aforementioned period will be suspended in the event that the beneficiary entity is requested to rectify the documentation presented.

4. Annual settlement

a) By submission of the supporting documentation for each annuity indicated in basis 14, a balance will be made of the expenses not executed and anticipated in the previous year. The amount of this balance will be compensated with the different annual payments. The items of 'hiring of the assistants' and 'expenses of the execution of the research activities' may be compensated on yearly basis, but never those of 'hiring of the main researcher'



- b) Up to 75% of the advance amount can be compensated annually in the concepts 'hiring the assistants' or 'expenses of the execution of research activities' The loss of the right to receive the remaining uncompensated amount will be declared.
- c) If the total expense of the annual aid for the hiring of the main researcher has not been made, there will be a loss of right to receive the amount not executed.

5. Final settlement

In the settlement of the fifth annuity, a refund will be made if there is a payment greater than the expense executed by the beneficiary entity.

Basis 17. Suspension, termination of contracts and substitution

- 1. The beneficiary entities may request the interruption and extension of the term of execution of the corresponding aid during the suspension period of the contracts financed, when these suspensions occur for the following reasons:
 - a) Parental leave.
 - b) Adoption.
 - c) Custody for adoption or foster care purposes.
 - d) Risk during pregnancy.
 - e) Risk during breastfeeding of children under nine months.
 - f) Temporary disability during pregnancy due to related causes it.
 - g) Temporary disability for reasons other than those of the aforementioned for a period of at least 2 consecutive months.

Aid interruptions for reasons other than those listed above will not lead to the extension of the execution period.

Requests for interruption and extension of aid will be made through the aid file, attaching to the request, where appropriate, the addendum to the contract or document justifying its extension for the covered period.

The interruptions and extensions referred to in the previous section must be authorized by the awarding body, which may collect the reports it deems necessary and give rise to the modification of the terms of the concession by means of a new resolution.



- 3. When the interruption and extension are authorized, the expenses derived from the recruitment that the beneficiary entity may incur during the interruption period (remuneration and employer's Social Security contribution) will not be considered eligible.
- 4. In general, the annuity in which the interruption occurs will be extended for a period identical to the duration of the interruption. However, in the cases where the periods of suspension can be legally enjoyed in part-time scheme, the duration of the aid will be extended for a period equivalent to the duration of the suspension calculated in full days.
- 5. The authorization of interruption and extension of the aid in no case entails an increase in the amount of the aid initially granted. Any increase in payment of the employer's social security contribution as a result of the period prolonged will be at the expense of the contracting research center.
- 6. In the event of termination of any of the contracts, the beneficiary entity must duly notify this to the managing body of the aid. For this purpose, it will submit, through the aid file, the relevant supporting documentation within a maximum period of one month from the expiration of the same.
- 7. Termination of the contract of the main researcher:
 - a) In the event that the causes for the termination of the contract are attributable to the beneficiary entity, no payment of the aid will be made.
 - b) If the termination of the main researcher's contract is due to causes not attributable to the beneficiary entity, the proportional part of the executed aid will be paid.
 - In this case, together with the communication of termination of the contract, the documentation justifying the expenses included in base 14.2 must be attached in order to pay the corresponding part.
 - c) In case of termination of the contract of the main researcher, the contracts of the research team and the expenses of carrying out the research activities will only be subsidized until the end of the year in which the contract of the main investigator was terminated.
- 8. In no case may the main researcher be substituted.
- 9. Substitution of the members of the research team
 - a) The beneficiary entities may substitute any of the two members hired in the research team when their contract is terminated for any of the following reasons: not having passed the trial period, voluntary termination, death or disability.
 - b) For scenarios not foreseen in the previous section, the beneficiary entities, within a maximum period of 15 calendar days from the termination of the contract, will



communicate the specific situation that has led to this, and the managing body of the aid will authorize or will reject the substitution of the person hired.

- c) When the replacement is appropriate, the new contract must meet the conditions established in base 8, except in relation to the start date.
- d) In no case will the amount of the grant awarded increase when there is a substitution of the person initially hired.
- e) The substitution contract will begin within a maximum and non-extendable period of two months from the expiration of the previous contract.
- f) A maximum of two substitutions per subsidized contract will be admitted.
- g) When the contract is terminated without the appropriate substitution or authorization, the loss of the right to receive the proportional part of the subsidy will be declared.

Basis 18. Obligations of the beneficiaries and repercussion in case of breach

- 1. The beneficiary entities will be subject to compliance with the following obligations:
 - a) In the event that the beneficiary is a private entity, within a period of one month, counting from the notification of the grant award, the statement relating to the obligation of transparency of the beneficiary entities of subsidies, adjusted to the model available in said file must be submitted electronically.
 - Failure to comply with the obligation to submit the Declaration of Transparency will prevent the payment of the grant awarded and will give rise, where appropriate, to the refund of the advance.
 - b) To justify the subsidized contracts as indicated in basis 14.
 - c) To ensure the adequate development of the research activities by the contracted person.
 - d) To notify the managing body of the grants of any incident that may affect the development of the activity of the person hired.
 - e) To communicate the termination of the contract as indicated in basis 17.
 - f) The obligations of publicity and dissemination of the results of the research project as indicated in basis 19.
 - g) The general obligations established in article 9 of Regional Law 11/2005, of November 9, on Subsidies.
- 2. Failure to comply with any of the obligations established in the granting resolution, in these regulatory bases or in the Regional Law 11/2005, of November 9, on Subsidies, will result in the loss of the right to receive the aid granted or, where appropriate, the reimbursement of the same in accordance with the provisions of article 35 of the aforementioned regional Law.



- 3. The criteria to measure the possible breaches will be those indicated below:
 - a) The total and manifest breach of the objectives for which the aid was granted, deduced from the assessment of the scientific-technical performance report of any annuity, will be cause of loss of the right to receive the subsidy and, when appropriate, the full reimbursement of the aid.
 - b) The partial breach of the objectives will entail the loss of the right to receipt or, where appropriate, the reimbursement of the corresponding aid.
 - I. The unfavorable assessment of the report of the first two annuities mentioned in basis 15 will suppose the non-continuity of the aid, declaring the loss of the right to receive the following annuities
 - II. The unfavorable assessment of the report of the five annuities mentioned in the basis 15 will mean the reduction in 5% of the total aid granted for the recruitment of the main researcher, which will result in the corresponding reimbursement.

Basis 19. Publicity and dissemination of research results

- 1. Both the beneficiary entities and the contracted persons, in the event of making a publication or public mention of the research activities carried out by the subsidized research teams, must state that it has been financed by the Government of Navarra.
- 2. In all the documentation generated in relation to the subsidized activities and in the dissemination materials of the same, an inclusive and non-sexist language will be used, and the transmission of an egalitarian image, not associated with gender roles and offering a diverse image of both women and men, in accordance with article 21 of Regional Law 17/2019, of April 4, on Equality between women and men.

Basis 20. Aid scheme

The aid object of this call is subject to the 'Framework on state aid for research and development and innovation' published in the EU Official Journal of June 27, 2014.

In particular, the activities carried out within the framework of this call correspond to noneconomic activities as defined in this Framework.

As established in the aforementioned EU Framework, the provisions of article 107, paragraph 1, of the Treaty on the Functioning of the European Union will not apply to public financing of the non-economic activities of these entities, that is, it will not be considered aid state, as long as it is possible to clearly distinguish between both types of activities (economic and non-economic) and between their respective costs and financing, so that the indirect subsidy of economic activity is effectively avoided.



Basis 21. Compatibility and accumulation of aid

- 1. The contracts financed by the aid object of this resolution will be incompatible with the validity of any other employment contract by the contracted personnel.
- 2. The subsidized expenses will be incompatible with the receipt of other public aid for the same expenses.
- 3. The people who are hired under these grants may offer complementary collaborations in teaching tasks, in their own institution or in others, for a maximum of 80 hours per year, at their own request and with the approval of their institution, observing, in the case of public institutions, the current regulations on incompatibilities of personnel at the service of Public Administrations.

Basis 22. Publicity of the grants awarded

The R&D&i Service will make public through the National Subsidies Database of the Ministry of Finance and Public Function (https://www.infosubventions.es) the subsidies granted detailing the call, budget credit to which they are charged, beneficiaries and amounts granted.

Likewise, in accordance with the provisions of article 15 of the Regional Law on Subsidies, the managing body will publish in the aid file the aid granted with expression of the program and budget credit to which they are charged, beneficiary, amount granted and purpose from the same.

Base 23. Appeal against regulatory bases

Against these regulatory bases, the interested parties may file an appeal before the Head of University, Innovation and Digital Transformation within a period of one month, counting from the day following its publication.



ANNEX 2

STRATEGIC SECTORS

- 1. Automotive, mechatronics and advanced manufacturing.
- 2. Food chain.
- 3. Renewable energies and resources.
- 4. Health.
- 5. Artificial intelligence applied to each of the previous lines of research.